



AVI ANSH TEXTILE LIMITED

CODE OF CONDUCT FOR PROHIBITION OF INSIDER TRADING

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INDEX

S No.	PARTICULARS	PAGE NO.
CODE OF CONDUCT FOR PROHIBITION INSIDER TRADING		
1.	Scope	4
2.	Objective	4
3.	Definitions	4-7
4.	Restriction on Communication and Procurement of Unpublished Price Sensitive Information	7-8
5.	Restriction on Trading when in possession of Unpublished Price Sensitive Information	8
6.	Prevention of Misuse of Unpublished Price Sensitive Information - Trading Plan - Trading Window - Preclearance of Trade	8-14
7.	Preservation of Unpublished Price Sensitive Information	14
8.	Disclosures	15-16
9.	Preservation of Disclosures	16
10.	Institutional Mechanism for Prevention of Insider Trading	16-17
11.	Compliance with Code of Conduct	18
12.	Amendment and Modification	18
13.	Non- Compliance	18-19
14.	Reporting of Allied Violations	19
15.	Amendment	19
16.	Annexure-A to Annexure-L	20-38

CODE OF CONDUCT FOR PROHIBITION OF INSIDER TRADING

1. **SCOPE & PURPOSE**

- 1.1. The Code of Conduct for Prohibition of Insider Trading ("**the Code**") has been formulated pursuant to the Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015 (hereinafter referred to as the '**Regulations**'). The purpose of the Code is to maintain an ethical and transparent process while dealing in the Securities of the Company by the designated persons.
- 1.2. The Code is applicable to all Designated Persons.

2. **OBJECTIVE**

The Code is intended to serve as a guiding charter for all concerned persons associated with the functioning of Avi Ansh Textile Limited (hereinafter referred as the '**Company**') and their trading in Securities of the Company. Further, the Code also seeks to ensure timely and adequate disclosure of Unpublished Price Sensitive Information ("**UPSI**") to the investors by the Company, to enable them to take informed investment decisions with regard to the Company's Securities.

3. **DEFINITIONS**

- 3.1. **Act** means the Securities and Exchange Board of India Act, 1992.
- 3.2. **Board or Board of Directors** means the Board or Board of Directors of the Company.
- 3.3. **Company** means Avi Ansh Textile Limited.
- 3.4. **Compliance officer:** means any senior officer, designated so and reporting to the Board of Directors, who is financially literate and is capable of appreciating requirement for legal and regulatory compliance under the SEBI (Prohibition of Insider Trading) Regulation, 2015 ["SEBI (PIT) Regulations"] and who shall be responsible for compliance of policies, procedure, maintenance of records, monitoring, adherence to the rules for the preservation of unpublished price sensitive information, monitoring of trades and the implementation of the codes specified in SEBI (PIT) Regulations under the overall supervision of the Board of Directors;

[Explanation- "financially literate" shall means a person who has the ability to read and understand basic financial statements i.e. balance sheet, profit and loss accounts, and statement of cash flows];
- 3.5. **Code:** Code means this Code of Conduct to regulate, monitor and report Trading by

Insiders and shall include modifications made thereto from time to time.

3.6. **Connected Person** means-

- (i) any person who is or has been, during the six months prior to the concerned act, associated with a company, in any capacity, directly or indirectly, including by reason of frequent communication with its officers or by being in any contractual, fiduciary or employment relationship or by being a director, officer or an employee of the company or holds any position including a professional or business relationship, whether temporary or permanent, with the company, that allows such a person, directly or indirectly, access to unpublished price sensitive information or is reasonably expected to allow such access.
- (ii) Without prejudice to the generality of the foregoing, the persons falling within the following categories shall be deemed to be connected persons unless the contrary is established, –
 - (a) a relative of connected persons specified in clause (i);
 - (b) a holding Company or associate Company or subsidiary Company; or
 - (c) an intermediary as specified in section 12 of the Act or an employee or director thereof; or
 - (d) an investment Company, trustee Company, asset management Company or an employee or director thereof; or
 - (e) an official of a stock exchange or of clearing house or corporation; or
 - (f) a member of board of trustees of a mutual fund or a member of the board of directors of the asset management Company of a mutual fund or is an employee thereof; or
 - (g) a member of the board of directors or an employee, of a public financial institution as defined in section 2(72) of the Companies Act, 2013; or
 - (h) an official or an employee of a self-regulatory organization recognized or authorized by the Board; or
 - (i) a banker of the Company; or
 - (j) a concern, firm, trust, Hindu undivided family, company or association of persons wherein a director of a company or his relative or banker of the company, has more than ten per cent. of the holding or interest or;
 - (k) a firm or its partner or its employee in which a connected person specified in sub-clause (i) of clause 3.6 is also a partner; or

- (l) a person sharing household or residence with a connected person specified in sub-clause(i) of clause3.6;
 - (m) Any other Person as the Compliance Officer in consultation with the Managing Director specify in this behalf.
- 3.7. Designated Person: means:
 - a) Promoters of the Company;
 - b) All members of the Board of Directors of the Company;
 - c) Key Managerial Personnel of the Company;
 - d) Senior Management of the Company;
 - e) Employees of such listed company, intermediary or fiduciary designated on the basis of their functional role or access to unpublished price sensitive information in the organization by their board of directors or analogous body;
 - g) All employees of Secretarial & Finance Department of the Company;
 - h) Such employees of the Company as may be decided by the Compliance Officer in consultation with Managing Director & CEO;
 - i) Any other person(s) designated by the Compliance Officer in consultation with the Managing Director & CEO on the basis of their functional role or access to Unpublished Price Sensitive Information.
- 3.8. **Directors:** means the member of the Board.
- 3.9. **Employee:** means every employee of the Company including the Directors in the employment of the Company.
- 3.10. **Generally Available Information:** means information that is accessible to the public on a non-discriminatory basis and shall not include unverified event or information reported in print or electronic media.
- 3.11. **Immediate Relative:** means a spouse of a person, and includes parent, sibling, and child of such person or of the spouse, any of whom is either dependent financially on such person, or consults such person in taking decision relating to trading in securities.
- 3.12. **Insider:** means any person who is:
 - i. a connected person; or
 - ii. in possession of or having access to unpublished price sensitive information;
- 3.13. **Promoter:** shall have the meaning assigned to it under the Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulation, 2018 or any modification thereof;
- 3.14. **Promoter Group:** shall have the meaning assigned to it under the Securities and Exchange Board of India (Issue of Capital and Disclosure Requirements) Regulation, 2018 or any modification thereof;

- 3.15. Regulation:** means Securities and Exchange Board of India (Prohibition of Insider Trading) Regulations, 2015.
- 3.16. Securities:** shall have the meaning assigned to it under the Securities Contracts (Regulation) Act, 1956 or any modification thereof;
- 3.17. Takeover Regulations:** means the Securities and Exchange Board of India (Substantial Acquisition of Shares and Takeover) Regulation, 2011 and any amendments thereto;
- 3.18. Trading:** means and include subscribing, redeeming, switching, buying, selling, dealing, or agreeing to subscribe, redeem, switch, buy, sell, deal in any securities, and “trade” shall be constructed accordingly;
- 3.19. Trading day:** means a day on which the recognized stock exchange are open for trading;
- 3.20. Unpublished Price Sensitive Information:** means any information, relating to the Company or its securities, directly or indirectly, that is not generally available which is upon becoming generally available, is likely to materially affect the price of the securities and shall, ordinarily including but not restricted to, information relating to the following:-
- financial results;
 - dividends;
 - change in capital structure;
 - merger, de-mergers, acquisition, delisting's, disposals and expansion of business, award or termination of order/contracts not in the normal course of business and such other transactions;
 - change in key managerial personnel, other than due to superannuation or end of term, and resignation of a Statutory Auditor or Secretarial Auditor
 - change in rating(s), other than ESG rating(s)
 - fund raising proposed to be undertaken;
 - agreements, by whatever name called, which may impact the management or control of the company;
 - fraud or defaults by the company, its promoter, director, key managerial personnel, or subsidiary or arrest of key managerial personnel, promoter or director of the company, whether occurred within India or abroad;
 - resolution plan/ restructuring or one-time settlement in relation to loans/borrowings from banks/financial institutions;
 - admission of winding-up petition filed by any party /creditors and admission of application by the Tribunal filed by the corporate applicant or financial creditors for initiation of corporate insolvency resolution process against the company as a corporate debtor, approval of resolution plan or rejection thereof under the Insolvency and Bankruptcy Code, 2016;
 - initiation of forensic audit, by whatever name called, by the company or any other entity for detecting mis-statement in financials, misappropriation/ siphoning or diversion of funds and receipt of final forensic audit report;
 - action(s) initiated or orders passed within India or abroad, by any regulatory, statutory, enforcement authority or judicial body against the company or its directors, key managerial personnel, promoter or subsidiary, in relation to the company;
 - outcome of any litigation(s) or dispute(s) which may have an impact on the company;
 - giving of guarantees or indemnity or becoming a surety, by whatever named called, for any third party, by the company not in the normal course of business;
 - granting, withdrawal, surrender, cancellation or suspension of key licenses or regulatory approvals.

Explanation 1- For the purpose of sub-clause (ix):

- a. 'Fraud' shall have the same meaning as referred to in Regulation 2(1)(c) of Securities and Exchange Board of India (Prohibition of Fraudulent and Unfair Trade Practices relating to Securities Market) Regulations, 2003.
- b. 'Default' shall have the same meaning as referred to in Clause 6 of paragraph A of Part A of Schedule III of Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015.

Explanation 2- For identification of events enumerated in this clause as unpublished price sensitive information, the guidelines for materiality referred at paragraph A of Part A of Schedule III of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 as may be specified by the Board from time to time and materiality as referred at paragraph B of Part A of Schedule III of the Securities and Exchange Board of India (Listing Obligations and Disclosure Requirements) Regulations, 2015 shall be applicable.]

3.21. Key Managerial Personnel: Key Managerial Personnel means Chairman and Managing Director, Whole-time Director, Chief Executive Officer, Company Secretary, Chief Financial Officer and such other person who may be designated as Key Managerial Person under applicable law from time to time;

3.22. Contra Trade: means if a Designated Person enters into an opposite trade in the Securities of the Company during the period of six months following the prior trade in the Securities of the Company, such opposite trade will be considered as a Contra Trade.

4. RESTRICTION ON COMMUNICATION OR PROCUREMENT OF UNPUBLISHED PRICE SENSITIVE INFORMATION

4.1. No insider shall communicate, provide, or allow access to any unpublished price sensitive information, relating to the Company or securities listed or proposed to be listed, to any person including other insiders except where such communication is in furtherance of legitimate purposes, performance of duties or discharge of legal obligations.

4.2. No person shall procure from or cause the communication by any insider of unpublished price sensitive information, relating to a Company or securities listed or proposed to be listed, except in furtherance of legitimate purposes, performance of duties or discharge of legal obligations.

Any person in receipt of unpublished price sensitive information pursuant to a "legitimate purpose" shall be considered an "insider" for purposes of these regulations and due notice shall be given to such persons to maintain confidentiality of such unpublished price sensitive information in compliance with these regulations.

4.3. Notwithstanding anything contained in this code, an unpublished price sensitive information may be communicated, provided, allowed access to or procured, in terms of the provision of regulation 3(3) of the "Regulations"

- 4.4. For purposes of sub-regulation (3), the board of directors shall require the parties to execute agreements to contract confidentiality and non-disclosure obligations on the part of such parties and such parties shall keep information so received confidential, except for the purpose of sub-regulation (3), and shall not otherwise trade in securities of the company when in possession of unpublished price sensitive information.
- 4.5. The Board of Directors shall ensure that a structured digital database is maintained containing the nature of unpublished price sensitive information and the names of such persons who have shared the information and also the names of such persons with whom information is shared under this regulation along with the Permanent Account Number or any other identifier authorized by law where Permanent Account Number is not available. Such database shall not be outsourced and shall be maintained internally with adequate internal controls and checks such as time stamping and audit trails to ensure non-tampering of the database.
- 4.6. The board of directors shall ensure that the structured digital database is preserved for a period of not less than eight years after completion of the relevant transactions and in the event of receipt of any information from the Board regarding any investigation or enforcement proceedings, the relevant information in the structured digital database shall be preserved till the completion of such proceedings.

Provided that entry of information, not emanating from within the organisation, in structured digital database may be done not later than 2 calendar days from the receipt of such information.

5. RESTRICTION ON TRADING WHEN IN POSSESSION OF UNPUBLISHED PRICE SENSITIVE INFORMATION

Trading by Insiders including Non-Individual Insiders when in possession of unpublished price sensitive information shall be governed by regulation 4 of the SEBI (PIT) Regulations.

6. PREVENTION OR MISUSE OF UNPUBLISHED PRICE SENSITIVE INFORMATION

A. TRADING PLAN

- 6.1. An insider shall be entitled to formulate a trading plan and present it to the compliance officer for approval and public disclosure pursuant to which trades may be carried out on his behalf in accordance with such plan. The intimation on formulation of the trading plan may be given to the Compliance Officer in the format specified in **Annexure A** hereto. Upon approval of the trading plan, the Compliance Officer shall notify the plan to the stock exchanges on which the Securities are listed.

6.2. Such trading plan shall: –

- 6.2.1. not entail commencement of trading on behalf of the insider earlier than 120 Calendar Days from the public disclosure of the plan;
- 6.2.2. not entail overlap of any period for which another trading plan is already in existence;
- 6.2.3. set out either the value or a trading plan shall set out the following parameters for each trade to be executed:
 - (i) either the value of trade to be effected or the number of securities to be traded;
 - (ii) nature of the trade;
 - (iii) either specific date or time period not exceeding five consecutive trading days;
 - (iv) price limit, that is an upper price limit for a buy trade and a lower price limit for a sell trade, subject to the range as specified below:
 - a. for a buy trade: the upper price limit shall be between the closing price on the day before submission of the trading plan and upto 20%
 - b. for a sell trade: the lower price limit shall be between the closing price on the day before submission of the trading plan and upto 20% lower than such closing price.

Explanation:

- (i) While the parameters in sub-clauses (i), (ii) and (iii) shall be mandatorily mentioned for each trade, the parameter in sub-clause (iv) shall be optional.
- ii) The price limit in sub-clause (iv) shall be rounded off to the nearest numeral.
- iii) Insider may make adjustments, with the approval of the compliance officer, in the number of securities and price limit in the event of corporate actions related to bonus issue and stock split occurring after the approval of trading plan and the same shall be notified on the stock exchanges on which securities are listed.

- 6.2.4. not entail trading in securities for market abuse.
- 6.2.5. mandatorily implement the plan without being entitled to either deviate from it (except in case of permanent incapacity, bankruptcy, operation of law) or execute any Trade outside the scope of the trading plan. Thus, the trading plan, once published, shall be irrevocable. Provided that the Insider shall not commence Trading under trading plan, if any UPSI in his possession at the time of formulation of the plan has not become Generally Available Information at the time of commencement of the implementation of trading plan. In such cases, the Compliance Officer will confirm that the commencement ought to be deferred until such Unpublished Price Sensitive Information becomes Generally Available Information.
- 6.2.6. Any trading by a person under Trading Plan can be done only to the extent and in the manner disclosed in the plan, save and except for pledging of securities. i.e. creation/revocation/invoation of pledge is allowed when trading window is closed. However, the pledger/pledgee may demonstrate that the creation/

invocation of pledge was bona fide and prove their innocence under proviso to sub-regulation (1) of regulation 4 of the SEBI PIT Regulations.

- 6.3. The compliance officer shall review the trading plan to assess whether the plan would have any potential for violation of these regulations and shall be entitled to seek such express undertakings as may be necessary to enable such assessment and to approve and monitor the implementation of the plan.

Provided that pre-clearance of trades shall not be required for a trade executed as per an approved trading plan.

Provided further that trading window norms shall not be applicable for trades carried out in accordance with an approved trading plan.

- 6.4. Approval or rejection of the trading plan shall be made within two trading days of receipt of the trading plan. The Compliance Officer shall notify the approved plan to stock exchanges on which the securities are listed, on the day of approval.

6.5. Implementation of Trading Plan:

Where a trading plan has not been implemented ((full/partial) on account of variation in price (where price limit has been provided in the trading plan) or due to permanent incapacity, bankruptcy, or operation of law or inadequate liquidity in the scrip, the following procedure shall be adopted:

(i) The Insider shall intimate non-implementation (full/partial) of trading plan to the Compliance Officer within two trading days of the end of tenure of the trading plan with reasons thereof and supporting documents, if any.

(ii) Upon receipt of information from the Insider, the Compliance Officer, shall place such information along with his recommendation to accept or reject the submissions of the Insider, before the Audit Committee in the immediate next meeting. The Audit Committee shall decide whether such non-implementation (full/partial) was bona fide or not.

(iii) The decision of the Audit Committee shall be notified by the Compliance Officer on the same day to the stock exchanges on which the securities are listed.

(iv) In case the Audit Committee does not accept the submissions made by the Insider, then the Compliance Officer shall take action as per this Code of Conduct.

B. TRADING WINDOW

- 6.6. All Designated Persons shall execute trades in the Securities of the Company only in a valid trading period called Trading Window prescribed hereunder and shall not execute any trade or deal in any transaction involving the purchase or sale of the Company's Securities in their own name or in the name of their Immediate Relatives during the period when the Trading Window is closed or any other period as may be specified by the Company from time to time.

- 6.7. The Trading Window for Trading in Securities of the Company shall be closed for the following purposes:

- Declaration of financial results (quarterly and annually), standalone and consolidated, of the Company;
- Intended declaration of dividends (both interim and final);

- Issue of Securities by way of public, bonus, rights issue etc. or buy-back of Securities and changes in capital structure;
- Change in Key Managerial Personnel;
- Mergers, demergers, amalgamations, acquisitions, delisting, disposals, expansion of business and such other transactions.

Provided that, for unpublished price sensitive information not emanating from within the Listed Company, trading window may not be closed.

- 6.8. In addition to the items specified above, the Trading Window shall also be closed when the Compliance Officer determines that a Designated Person or class of Designated Persons can reasonably be expected to have possession of Unpublished Price Sensitive Information. Such closure shall be imposed in relation to such Securities to which such Unpublished Price Sensitive Information relates.
- 6.9. In case of declaration of financial results, the Trading Window shall be closed during the period beginning from the last day of any financial period for which results are required to be announced and ending 48 (forty-eight) hours after the public release of such results. In all other circumstances, the time for commencement of closing of Trading Window shall be as determined by the Compliance Officer. The gap between clearance of accounts by the Audit Committee and the Board meeting should be as narrow as possible and preferably on the same day to avoid leakage of material information.
- 6.10. The Compliance Officer after taking into account various factors including the Unpublished Price Sensitive Information in question becoming Generally Available Information and being capable of assimilation by the market, shall decide the timing for re-opening of the Trading Window, which however shall not in any event be earlier than 48 hours after the information becoming Generally Available Information.
- 6.11. Trading Window may be closed by the Company during such time in addition to the above period, as may be deemed fit by the Compliance Officer.
- 6.12. The notice of closure of the Trading Window intimated to the stock exchanges, if any, wherever the Securities of the Company are listed.
- 6.13. The trading window restriction shall not apply for below cases;
 - (i) off-market inter-se transfer between insiders who were in possession of the same UPSI without violating the policy and both parties had made a conscious and informed trade decision.

Provided that such unpublished price sensitive information was not obtained under sub-regulation (3) of regulation 3 of these regulations.

Provided further that such off-market trades shall be reported by the insiders to the company within two working days. Every company shall notify the particulars of such

trades to the stock exchange on which the securities are listed within two trading days from receipt of the disclosure or from becoming aware of such information.

- (ii) transaction carried out through the block deal window mechanism between persons who were in possession of the UPSI without violating the policy and both parties had made a conscious and informed trade decision.

Provided that such unpublished price sensitive information was not obtained by either person under sub-regulation (3) of regulation 3 of these regulations

- (iii) transaction carried out pursuant to a statutory or regulatory obligation to carry out a bona fide transaction.
- (iv) transaction undertaken pursuant to the exercise of stock options in respect of which the exercise price was pre-determined in compliance with applicable regulations.
- (v) trades executed as per the trading plan set up in accordance with the policy.
- (vi) Pledge of shares for a bonafide purpose such as raising of funds, subject to pre-clearance by the compliance officer.
- (vii) Transactions undertaken in accordance to respective regulations made by SEBI, such as acquisition by conversion of warrants or debentures, subscribing to rights issue, subscription to the issue of non-convertible securities, further public issue, preferential allotment or tendering of shares in a buy- back offer, open offer, delisting offer or transactions which are undertaken through such other mechanism as may be specified by the SEBI from time to time.

C. PRE-CLEARANCE OF TRADES

6.14. During a valid Trading Window, trading by Designated Persons shall be subject to pre-clearance by the Compliance Officer, if the value of the proposed trade is above the threshold limit specified in sub-clause 6.17 below.

6.15. Designated Persons intending to deal in the Securities of the Company upto the threshold limit specified in sub-clause 6.17 below, may do so without any pre-clearance from the Compliance Officer. In all other cases, they should pre-clear the transactions as per the pre- dealing procedure as provided hereinafter.

6.16. **Procedure for Pre-Clearance of Trades**

- (i) An application for pre-clearance of Trade shall be made to the Compliance Officer in the format attached as **Annexure B** hereto, indicating the estimated number of Securities that the Designated Person making such application intends to deal in, the details as to the depository with which he/she has a security account and such other details as may be required by the Compliance Officer in this regard.
- (ii) In case of the Compliance Officer intending to deal in the Securities of the Company beyond the threshold limit specified in sub-clause 6.15 below, the pre- clearance of the Managing Director or in his absence, the Chairman of the Board, will have to be obtained.
- (iii) An undertaking in the format set out in **Annexure C** of this Code, shall be executed in favour of the Company by such persons applying for pre-clearance.
- (iv) The Compliance Officer shall on receiving an application, provide the Designated Persons with an acknowledgement on the duplicate of the application or in any electronic form.
- (v) The Compliance Officer shall grant approval, in the format set out in **Annexure D**, within 2 days from the date of acknowledgement.
- (vi) The Compliance Officer shall retain copies of all applications and acknowledgements either in physical or electronic mode.
- (vii) In exceptional circumstances consent may not be given if the Compliance Officer is of the opinion that the proposed deal is on the basis of possession of any Unpublished Price Sensitive Information.

There shall be no obligation to give reasons for any withholding of consent.

6.17. **Threshold Limit for Pre-clearance**

All Designated Persons who (or whose Immediate Relatives) intends to deal in the securities of the Company when the trading window is open shall take pre-clearance of every transaction. It is clarified that pre-clearance is not required for trades executed in

accordance with a Trading Plan.

6.18. Validity of Pre-Clearance Period

Designated Persons shall complete execution of their pre-cleared Trades in respect of Securities of the Company no later than 7 Trading Days after the approval of pre- clearance is given failing which fresh pre-clearance would be required for the Trades to be executed.

6.19. Reporting

Designated Persons executing pre-cleared Trades shall file within 2 working days of the execution of the Trade, the details of such Trade, with the Compliance Officer in the format set out in **Annexure E** of this Code. In the event such Trade is not executed, a report to that effect shall be filed with the Compliance Officer also in the format set out in **Annexure E** of this Code.

6.20. Holding Period of Securities

- (i) All Designated Persons who are permitted to Trade shall not enter into a contra Trade during the next 6 months following the prior Trade.
- (ii) In case the contra trade is necessitated by emergency, the Compliance Officer may waive the holding period after recording in writing reasons in this regard provided such waiver does not amount to violation of the SEBI Regulations or this Code. Similarly, in the case of emergency of Trade by a Compliance Officer, the Compliance Officer may obtain the waiver from the Managing Director or in his absence, the Chairman of the Board, provided that such waiver does not violate this Code or the SEBI Regulations. The application for waiver shall be made in the format prescribed in **Annexure F** hereto.
- (iii) In case a contra Trade is executed, inadvertently or otherwise, in violation of such restriction, the profits from such Trade shall be liable to be disgorged for remittance to SEBI for credit to the Investor Protection and Education Fund administered by SEBI under the Act.

Provided that (i), (ii) and (iii) above shall not be applicable for Trades pursuant to exercise of stock options.

7. PRESERVATION OF UNPUBLISHED PRICE SENSITIVE INFORMATION

- 7.1. Access to Unpublished Price Sensitive information shall be on a “need-to know” basis and no Unpublished Price Sensitive Information shall be communicated to any person except in furtherance of legitimate purposes, in the course of performance of duties or in discharge of legal obligations.
- 7.2. To prevent the misuse of UPSI, the Company adopts the “**Chinese Wall**” policy which separates those areas of the Company which routinely have access to UPSI, considered —” inside areas” from those departments which deal with sale/ marketing or other departments providing support services, considered—”public areas”.

7.3. Chinese Wall Policy

As per the said policy:-

- (i) The Employees in the inside areas are not allowed to communicate any UPSI to anyone in the public areas.
- (ii) The Employees in inside area may be physically separated from the Employees in public area.
- (iii) Demarcation of the various departments as inside areas may be implemented by the Compliance Officer in consultation with the Board of Directors;
- (iv) The Employees within the inside area of the Chinese Walls have a responsibility to ensure the Chinese Wall is not breached deliberately or inadvertently. Known or suspected breaches of the Chinese Wall must be referred to the Compliance Officer immediately;
- (v) The establishment of Chinese Wall is not intended to suggest that within inside areas material, Unpublished Price Sensitive Information can be circulate freely.
- (vi) Within inside areas, the need-to-know shall be in effect; and
- (vii) Only in exceptional circumstances, Employees from the public areas may be permitted to ‘cross the wall’ are brought ‘over the wall’ and provided UPSI on “need to know” basis under intimation to the Compliance Officer. In such cases, the Compliance Officer shall ensure that all necessary restrictions are imposed on such Employee(s) in relation to protection of such Unpublished Price Sensitive Information.

8. DISCLOSURES

8.1. General Provisions

- (i) All public disclosures required to be made pursuant to the SEBI Regulations and this Code shall be made in the formats specified in the SEBI Regulations and this Code.
- (ii) The disclosures to be made by any person under this Code shall include those relating to Trading by such person's, Immediate Relatives, and by any other person for whom such person takes Trading decisions.
- (iii) The disclosures of Trading in Securities shall also include Trading in derivatives of Securities and the traded value of the derivatives shall be taken into account for purposes of disclosure. Provided that Trading in derivatives of Securities is permitted by any law for the time being in force.

8.2. Disclosures by certain persons:

(i) Initial Disclosures:

Every person on appointment as a Key Managerial Personnel or a Director of the Company or upon becoming a Promoter or member of the promoter group shall disclose his / her and Immediate Relatives' holding of securities of the Company as on the date of appointment or becoming a promoter, to the Company within seven days of such appointment or becoming a promoter, as per **Annexure G**.

(ii) Continual Disclosure:

- (a) Every promoter, member of the promoter group, designated person and director of every Company shall disclose to the company the number of such securities acquired or disposed of within two trading days of such transaction if the value of the securities traded, whether in one transaction or a series of transactions over any calendar quarter, aggregates to a traded value in excess of ten lakh rupees or such other value as may be prescribed in the format specified in **Annexure H** hereto.
- (b) The Company shall notify the particulars of such trading to the stock exchange on which the securities are listed within two trading days of receipt of the disclosure or from becoming aware of such information.
- (c) The above disclosures shall be made in such form and such manner as maybe specified by the SEBI from time to time.

Explanation. — It is clarified for the avoidance of doubts that the disclosure of the incremental transactions after any disclosure under this sub-regulation, shall be made when the transactions effected after the prior disclosure cross the threshold specified in clause (a) of sub-regulation (ii).

(iii) **Disclosures by other connected persons.**

The Compliance officer or the Company may, at its discretion require any other connected person or class of connected persons to make disclosures of holdings and trading in securities of the company in the format specified in **Annexure I** hereto, at such frequency as may be determined by the company in order to monitor compliance with these regulations.

(iv) **Annual Disclosure**

Designated Persons shall furnish to the Compliance Officer the following:

- (a) Annual statement of all their holdings in Securities of the Company to be submitted within 30 days of the close of each financial year in the format specified in **Annexure I** hereto.
- (b) The Designated Person shall disclose to the Company in **Annexure – K** within 30 (thirty) days from the end of the financial year and on continual disclosure basis, as and when the information changes, within [7 (seven)] days of such change:
 - (i) name of Immediate Relatives;
 - (ii) persons with whom such Designated Person(s) shares a material financial relationship;
 - (iii) Permanent Account Number or any other identifier of (i) & (ii) authorized by law;
 - (iv) phone, mobile and cell numbers of (i) and (ii);
 - (v) the names of educational institutions from which Designated Persons have graduated and names of their past employers.

Provided that, the name of educational institution from which designated person have graduated and name of their past employer shall also be disclosed on a one-time basis

Explanation – The term “material financial relationship” shall mean a relationship in which one person is a recipient of any kind of payment such as by way of a loan or gift from a designated person during the immediately preceding twelve months, equivalent to at least 25% of the annual income of such designated person but shall exclude relationships in which the payment is based on arm’s length transactions.

9. PRESERVATION OF DISCLOSURES

All undertakings, disclosures and applications made/submitted under these regulations shall be maintained by the Compliance Officer, for a minimum period of 5 years.

10. INSTITUTIONAL MECHANISM FOR PREVENTION OF INSIDER TRADING

10.1. The Managing Director shall put in place adequate and effective system of internal controls to ensure compliance with the requirements given in this code to prevent insider trading.

10.2. The internal controls shall include the following:

- (a) all employees who have access to unpublished price sensitive information are identified as designated person;

- (b) all the unpublished price sensitive information shall be identified and its confidentiality shall be maintained as per the requirements of this code;
 - (c) adequate restrictions shall be placed on communication or procurement of unpublished price sensitive information as required by this code;
 - (d) lists of all employees and other persons with whom unpublished price sensitive information is shared shall be maintained and confidentiality agreements shall be signed or notice shall be served to all such employees and persons;
 - (e) all other relevant requirements specified under these regulations shall be complied with;
 - (f) periodic process review to evaluate effectiveness of such internal controls.
-
- 10.3. The board of directors of the Company shall ensure that the Chief Executive Officer or the Managing Director or such other analogous person ensures compliance with regulation 9 and sub-regulations (1) and (2) of the Regulations.
 - 10.4. The Audit Committee of the Company shall review compliance with the provisions of these regulations at least once in a financial year and shall verify that the systems for internal control are adequate and are operating effectively.
 - 10.5. The policy and procedure for inquiry in case of leak of unpublished price sensitive information or suspected leak of unpublished price sensitive information is enclosed in code of practices and procedures for fair disclosure of unpublished price sensitive information.
 - 10.6. If an inquiry has been initiated by a listed company in case of leak of unpublished price sensitive information or suspected leak of unpublished price sensitive information, the relevant intermediaries and fiduciaries shall co-operate with the listed company in connection with such inquiry conducted by the Company.
 - 10.7. Intermediary or fiduciary engaged by the Company shall put in place adequate and effective system of internal controls to ensure compliance with the requirements given in the SEBI Regulations to prevent insider trading.
 - 10.8. The Company shall engage such Intermediary or Fiduciary who have formulated Code of Conducts as per required under SEBI (Prohibition of Insider Trading) Regulations, 2015 to govern trading in securities by their designated persons and for handling the UPSI in their organization.

11. COMPLIANCE WITH CODE OF CONDUCT

- 11.1. The Compliance Officer shall report a compliance status on this Code on quarterly basis to the Audit Committee.
- 11.2. The Company is empowered to take appropriate action against any person who violates this Code. Such action may include wage freeze, suspension, ineligibility for future participation in employee stock option plan, recovery etc.
- 11.3. When a person who has traded in securities has been in possession of UPSI, his trades would be presumed to have been motivated by the knowledge and awareness of such information in his possession. The onus is on the Insiders to prove that they are innocent.

12. AMENDMENTS AND MODIFICATIONS

- 12.1. The decision of the Board of Directors with regard to any or all matters relating to this Code shall be final and binding on all concerned. This Code shall be reviewed from time to time and the Board of Directors shall have the power to modify, amend or replace this Code in part or full, as may be thought fit from time to time in their absolute discretion.

13. NON-COMPLIANCE

- 13.1. Any Designated Person or Insider who trades in Securities or communicates, provides or allows access to any information for Trading in Securities in contravention of the Code, shall be penalized and appropriate action taken shall be taken against him/her by the Company basis his/ her seniority, number and nature of contraventions.

The penalty imposed/action by the Company may include but shall not be restricted to:

1. Reprimanding of defaulting Designated Person/Insider;
 2. Ban from engaging in any trade of the securities of the Company (including exercise of stock options);
 3. Suspension from employment;
 4. Ban from participating in all future employee stock option schemes including lapse of all existing options;
 5. No increment and/or bonus payment; and/or
 6. Termination from employment;
 7. Disgorgement of the gain accrued through the transactions in violation of the Code.
- 13.2. In addition to the action which may be taken by the Company, the persons violating the Regulations and/ or this Code shall also be subject to actions, under Section 15G of the Act pursuant to which they may be liable to penalty which shall not be less than Rs.10 lakhs and which may extend to Rs. 25 crores or 3 times the amount of the profits made out of insider trading, whichever is higher; under Section 24 of the Act pursuant to

which they may be liable to imprisonment for a term which may extend to 10 years and/or fine which may extend to Rs. 25 Crores or with both; and other applicable laws.

13.3. If it is observed by the Board that there has been violation of SEBI Regulations, it shall promptly inform the stock exchange(s) where the concerned securities are traded, in such form and such manner as may be specified by the SEBI from time to time.

13.4. Any amount collected under this clause shall be remitted to the SEBI for credit to the Investor Protection and Education Fund administered by the SEBI under the Act.

14. REPORTING OF ALLEGED VIOLATIONS

Retaliation for reporting suspected violations is strictly prohibited under this Code. Employee who reports any alleged violations of insider trading laws in accordance with the Informant mechanism introduced vide SEBI (Prohibition of Insider Trading) (Third Amendment) Regulations, 2019 dated 17 September 2019, will be protected against any discharge, termination, demotion, suspension, threats, harassment, directly or indirectly or discrimination. The format of reporting is available in **Annexure L** of this Code.

15. AMENDMENT

The Policy revised by the Board of Directors at its meeting held on May 28, 2025.

ANNEXURE A
APPLICATION FOR ANNUAL TRADING PLAN

Date:

To,
The Compliance Officer
Avi Ansh Textile Limited
4th Floor, Unit No. 402, Aggarwal Cyber Plaza -1,
NSP, Pitampura, Delhi - 110034

1. Name of the Applicant: _____
PAN _____
2. No. of securities held in the Company as on date: _____
3. Approval sought for: Self [] Immediate Relative (IR) []
4. Trading plan belongs for a period of _____ months i.e. for a period commencing from _____ and ending on _____
5. Details of the proposed trade:

DP ID/ Client Type of ID / Folio security No.		No. of Securities held (as on date)	Nature of Trade (Buy/Sell/___)	Proposed Date/time period of trade	Price Cap (+/- 20%)	No. /total amount of securities proposed to be traded
	Equity		Buy (through stock exchange trading mechanism/___)			

Undertaking:

With respect to the above trading plan, I hereby:

- I. undertake that I shall not entail commencement of trading earlier than 120 working days from the public disclosure of the plan;
- II. Confirm that I am not in possession of any unpublished price sensitive information at the time of formulation of this trading plan OR I undertake that I shall not commence the trading as per above plan if the Unpublished Price Sensitive Information which is in my possession at present, do not comes into public domain till the time of commencement of trading plan & shall defer the commencement of trading

- plan till such information becomes generally available;
- III. Undertake that I shall not tender any other trading plan for the period for which the above trading plan is already in force;
- IV. Undertake that I shall not entail trading in securities for market abuse;
- V. Confirm that I am not in violation of Company's Code of Conduct or SEBI Insider Trading Regulations while formulating the aforesaid trading plan; and
- VI. I also hereby confirm that except me, none of my immediate relatives or any other person for whom I take trading decisions, shall deal in the securities of the Company as per this trading plan.

Date.....

Place.....

Signature :

Name :

Designation :

For use of Compliance Officer:

Application recd. Date	Approval Date	Approval No.	Approval No. Compliance Officer's signature

Approval granted for Trading Plan for a period of _____ months commencing from _____ until _____

Notification to Stock Exchange _____

Signature: _____

Compliance Officer

Please provide all the information. Incomplete forms will not be accepted.

ANNEXURE B

FORMAT OF APPLICATION FOR OBTAINING PRE-CLEARANCE APPROVAL

To,
The Compliance Officer
Avi Ansh Textile Limited
4th Floor, Unit No. 402,
Aggarwal Cyber Plaza -1,
NSP, Pitampura, Delhi - 10034

Dear Sir,

I/We, _____ Designated Person(s) of Avi Ansh Textile Limited intend to carry out transaction(s) in Securities of Avi Ansh Textile Limited as per the details given below:-

Name:

Department:

Permanent Account Number (PAN):

S. No.	No. of Securities held (including by dependent family members) as on the date of application	Folio No./ DPID & Client ID	Nature of trading	Estimated number of securities to be dealt	Estimated value
1	2	3	4	5	6

In this connection, I solemnly confirm and declare:

- THAT I do not have access to nor do I have any information that could be construed as Unpublished Price Sensitive Information as defined in the Code upto the time of signing this undertaking;
- THAT in case I have access to receive Unpublished Price Sensitive Information after the signing of the undertaking but before the execution of the transaction, I shall inform the Compliance Officer of any change in such position and that I shall completely refrain from dealing in the Securities of the Company till the time such information becomes public;
- THAT I have not contravened the "Code of Conduct for Prohibition of Insider Trading" as notified by the Company from time to time;
- THAT I shall hold the Securities for a minimum period of 6 (six) months from the date of trade/that I have complied with the requirement of minimum holding period of six (6) months with respect to the securities sold (applicable only in respect of sale transaction).

I hereby solemnly declare that I have made full and true disclosure in this regard to the best of my knowledge and belief.

Pre-clearance may kindly be accorded in terms of the requirement of the 'Code of Conduct for Prohibition of Insider Trading', of the Company.

Yours faithfully,

Signature:

(Name of the Designated Person)

Date:

Place:

ANNEXURE C

**UNDERTAKING TO BE ACCOMPANIED WITH THE
APPLICATION FOR PRE-CLEARANCE**

Date: _____

**To,
The Compliance Officer,
Avi Ansh Textile Limited
4th Floor, Unit No. 402, Aggarwal
Cyber Plaza -1, NSP, Pitampura,
Delhi - 110034**

Dear Sir/Madam,

I,_____(Designation)_____residing at_____,am desirous of dealing in_____shares of the Company as mentioned in my application dated _ ____for pre-clearance of the transaction.

I further declare that I am not in possession of or otherwise privy to any Unpublished Price Sensitive Information (as defined in the Company's Code of Conduct for Prohibition of Insider Trading (the Code) up to the time of signing this Undertaking.

In the event that I have access to or received any information that could be construed as "Unpublished Price Sensitive Information" as defined in the Code, after the signing of this undertaking but before executing the transaction for which approval is sought, I shall inform the Compliance Officer of the same and shall completely refrain from dealing in the Securities of the Company until such information becomes public.

I declare that I have not contravened the provisions of the Code as notified by the Company from time to time.

I undertake to submit the necessary report within 2 (two) days of execution of the transaction / a 'Nil' report if the transaction is not undertaken.

If approval is granted, I shall execute the deal within 7 (seven) days of the receipt of approval failing which I shall seek pre-clearance.

I declare that I have made full and true disclosure in the matter.

Signature: _____

ANNEXURE D

PRE-CLEARANCE ORDER

PCO No.:_____

Date:_____

To,

_____(Applicant)

This is to inform you that your request for dealing in shares of the Company as mentioned in your application dated is approved. Please note that the said transaction must be completed on or before _____[date].

In case you do not execute the approved transaction /deal on or before the aforesaid date you would have to seek fresh pre-clearance before executing any transaction/deal in the Securities of the Company. This pre-clearance order is subject to below conditions:

1. The transactions should be executed within 7 days of this approval;
2. A contra trade shall not be executed within a period of 6 months of such Trade, except as prescribed under the policy.

Further, you are required to file the details of the executed transactions in the prescribed forms as per the Company's Code of Conduct for Insider Trading. In case the transaction is not undertaken a 'Nil' report shall be necessary.

Signature:_____

Compliance Officer

ANNEXURE E

FORMAT FOR DISCLOSURE OF TRANSACTIONS
EXECUTED/NOT EXECUTED AFTER OBTAINING PRE-
CLEARANCE

Date: _____

To,
The Compliance Officer,
Avi Ansh Textile Limited
4th Floor, Unit No. 402,
Aggarwal Cyber Plaza -1, NSP,
Pitampura, Delhi - 110034

With reference to trading approval granted by the Company to me on_____, I hereby inform that I have bought/sold/subscribed for equity shares of the Company for Rs.____on_____/not traded in shares of the Company.

In connection with the aforesaid transaction, please find enclosed copy of the following for your records:

Broker's contract note/Proof of payment to/from brokers/ Extract of bank passbook/statements (in case of demat transaction)/ Copy of Delivery instruction slips (applicable in case of sale transactions)

I hereby undertake to preserve the original copy of the above mentioned document for a period of 5 years and produce to the Compliance Officer/Securities Exchange Board of India, if required in future.

Yours truly,

Name & Signature:
Designation:

Date:
Place:

ANNEXURE F

APPLICATION FOR WAIVER OF MINIMUM HOLDING PERIOD

Date:_____

**To,
The Compliance Officer,
Avi Ansh Textile Limited
4th Floor, Unit No. 402,
Aggarwal Cyber Plaza -1, NSP,
Pitampura, Delhi - 110034**

Dear Sir,

Pursuant to SEBI (Prohibition of Insider Trading) Regulations, 2015 and Avi Ansh Textile Limited Code of Conduct for Prohibition of Insider Trading, I _____
_____(Name and Designation of the Designated Person) had _____
_____(provide the details of purchase/sale/subscribe for shares as the case may be) _____(number of securities) of the Company on _____
_____after obtaining pre-clearance on _____. The details of transaction executed were submitted on _____(date) in format prescribed. I seek your approval to waive off the time restrictions and permit to execute a contra-trade for _____(number of securities) of the Company due to _____(valid reason(s) for executing contra trade).

I declare that I am not in possession of any Unpublished Price Sensitive Information (as defined under the Avi Ansh Textile Limited Code of Conduct for Prohibition of Insider Trading) up to the date of this application.

I further declare that in case I have access to any Unpublished Price Sensitive Information after the signing of this application and before executing a contra- trade (if permitted), I shall:

1. Promptly inform the Compliance Officer
2. Refrain from trading in securities of the Company.

Yours truly,

**Name &
Signature:
Designation:**

**Date:
Place:**

Enclosed:

1. Copy of pre-clearance approval
2. Copy of execution of previous trade

ANNEXURE G

SEBI (Prohibition of Insider Trading) Regulations, 2015[Regulation 7 (1) (b) read with Regulation 6(2)]

[DISCLOSURE ON BECOMING A DIRECTOR / KMP / PROMOTER/ MEMBER OF PROMOTER GROUP]

Name of the Company: _____

ISIN of the Company: _____

Details of Securities held on appointment of Key Managerial Personnel (KMP) or Director or upon becoming a Promoter or member of the promoter group of a listed company and immediate relatives of such persons and by other such persons as mentioned in Regulation 6(2).

Name, PAN, CIN/DIN & Address With Contact nos.	Category of Person (Promoters or member of the Promoter Group/ KMP / Directors/immediate relative to/ others etc.)	Date of appointment of Director /KMP or Date of becoming Promoter or member of Promoter Group	Securities held at the time of becoming Promoter or member of the promoter group /appointment of Director/KMP		% of Shareholding
			Type of security (For e.g. – Shares, Warrants, Convertible Debentures etc.	No.	
1	2	3	4	5	6

Note: “Securities” shall have the meaning as defined under regulation 2(1)(i) of SEBI (Prohibition of Insider Trading) Regulations, 2015.

27

Open Interest of the Future contracts held at the time of becoming Promoter or members of Promoter Group /appointment of Director/KMP			Open Interest of the Option Contracts held at the time of becoming Promoter or members of Promoter Group /appointment of Director/KMP		
Contract specifications	Number of units (contracts *lot size)	Notional value in Rupee terms	Contract specifications	Number of units (contracts *lot size)	Notional value in Rupee Terms
7	8	9	10	11	12

Details of Open Interest (OI) in derivatives of the company held on appointment of Key Managerial Personnel (KMP) or Director or upon becoming a Promoter or member of the promoter group of a listed company and immediate relatives of such persons and by other such persons as mentioned in Regulation 6(2).

Note: In case of Options, notional value shall be calculated based on premium plus strike price of options

Name & Signature:

Designation:

Date:

Place:

ANNEXURH

SEBI (Prohibition of Insider Trading) Regulations, 2015

[Regulation 7 (2) read with Regulation 6(2) – Continual disclosure]

Name of the company:

ISIN of the company:

Details of change in holding of Securities of Promoter, Member of the Promoter Group, Designated Person, or Director of a listed company and immediate relatives of such persons and other such persons as mentioned in Regulation 6(2).

Name, PAN, CIN/DIN, & Address with Contact nos.	Category of Person (Promoters/ member of the promoter group/ Designated Person/ Directors/ immediate relative to/ others etc.)	Securities held prior to acquisition / disposal		Securities acquired/ Disposed				Securities held post acquisition/disposal		Date of allotment advice/ acquisition of shares/sale of shares specify		Date of intimation to Company	Mode of acquisition/ disposal (on market/ public/ rights/preferential offer/ off market/ Inter-se transfer, \n ESOPs etc.)	Exchange on which the trade was executed
		Type of Security (For e.g.- Shares, Warrants, Convertible Debentures etc.)	No. and % of share holding	Type of security (For e.g.- Shares, Warrants, Convertible Debenture etc.)	No. .	Value	Transaction Type (Buy/Sale/ Pledge/ Revoke/ Invoke/Others -please specify)	Type of security (For e.g. - Shares, Warrants ,Convertible Debentures etc.)	No. and % of share holding	From	To			
1	2	3	4	5	6	7	8	9	10	11	12	13	14	15

Note: (i) "Securities" shall have the meaning as defined under regulation 2(1)(i) of SEBI (Prohibition of Insider Trading) Regulations, 2015.

(ii) Value of transaction excludes taxes/brokerage/any other charges.

Details of trading in derivatives of the company by Promoter, member of Promoter Group, Designated Person or Director of a listed company and immediate relatives of such persons and other such persons as mentioned in Regulation 6(2).

Trading in derivatives (Specify type of contract, Futures or Options etc.)						Exchange on which the trade was executed
Type of contract	Contract specifications	Buy		Sell		
		Notional Value	Number of units (contracts* lot size)	Notional Value	Number of units (contracts* lot size)	
16	17	18	19	20	21	2 2

Note: In case of Options, notional value shall be calculated based on Premium plus strike price of options.

Name & Signature:

Designation:

Date:

Place:

ANNEXURE I

SEBI (Prohibition of Insider Trading) Regulations, 2015 Regulation 7(3) – Transactions by Other connected persons as identified by the Company

Details of trading in securities by other connected persons as identified by the company

Name, PAN, CIN/DIN, & address with Contact nos. of Other connected Persons as Identified by the Company	Connectio n with Company	Securities held Prior to acquisition/ disposal		Securities acquired/Disposed				Securities held post acquisition/ disposal		Date of allotment advice/ acquisition of shares/ sale of shares specify		Date of intimation to Company	Mode of acquisition / disposal (on market/public/ rights/ preferential offer / off market/Inter- se transfer, ESOPs etc.)	Exchang e on which the trade was executed
		Type of security (For e.g.- Shares, Warrants, Convertibl e Debenture setc.	No. and % of shareholding	Type of security (For e.g.- Shares, Warrants, Convertib le Debentur esetc.	No.	Value	Transaction Type (Buy/ Sale/ Pledge/ Revoke/ Invoke	Type of security (For e.g. Shares, Warrants, Converti ble Debentures etc.)	No. and %of shareholdin g	From	To			
1	2	3	4	5	6	7	8	9	10	11	12	13	14	15

Note: (i) "Securities" shall have the meaning as defined under regulation 2(1)(i) of SEBI (Prohibition of Insider Trading) Regulations, 2015.

(ii) Value of transaction excludes taxes/brokerage/any other charges.

Details of trading in derivatives by other connected persons as identified by the company

Trading in derivatives (Specify type of contract, Futures or Options etc.)						Exchange on which the trade was executed
Type of contract	Contract specifications	Buy		Sell		
		Notional Value	Number of units (contracts * lot size)	Notional Value	Number of units (contracts * lot size)	
16	17	18	19	20	21	22

Note: In case of Options, notional value shall be calculated based on Premium plus strike price of options.

Name & Signature:

Designation:

Date:

Place:

ANNEXURE J
ANNUAL DISCLOSURE

Transactions by Designated Persons as identified by the Company

Details of transactions executed during the Financial Year _____ in securities of the Company

To,
The Compliance Officer,
Avi Ansh Textile Limited
4th Floor, Unit No. 402,
Aggarwal Cyber Plaza -1, NSP,
Pitampura, Delhi - 110034

I _____ (name of the Designated Person), _____
(designation) of the Company residing at _____
(address of the Designated Person) hereby declare that -

The following is the consolidated statement of transactions made during the Financial Year _____.

Opening balance of securities of the Company held as on 01.04.20	Purchase/Sale made during the year	Closing balance of securities of the Company held as on 31.03.20

Name & Signature

Designation:

Date:

Place:

** Please delete/modify as per the factual position*

ANNEXURE K

ANNUAL DISCLOSURE

Disclosure of information by Designated Persons

**To
The Compliance Officer,
Avi Ansh Textile Limited
4th Floor, Unit No. 402,
Aggarwal Cyber Plaza -1, NSP,
Pitampura, Delhi - 110034**

In compliance of clause 14 of the Schedule B of PIT Regulations and Clause 7 of the Code

Details relating to the Designated Person		
a)		
b)		
c)	Name of the organization and address	
d)		
e)	Current Personal address (if different from (d))	
f)	Permanent Account Number ("PAN") or any other identifier authorized by law where PAN is not available	
g)	Phone/ Mobile No.	
h)	DP ID & Client ID (All Demat Accounts)	
i)	Name & address of the educational institutions of Graduation and above (including institutions of Post - Graduation/Professional/Programmes / Diploma, etc.)	
j)	Name and address of Past Employers (including trainee)	

**Date:
Place:**

**Signature:
Name**

ANNUAL/ CONTINUAL DISCLOSURE

Disclosure of information by Designated Persons

Details relating to immediate relatives*					
Sr. No.	Name of the Person	Relationship	PAN or any other identifier authorized by law where PAN is not available	Phone/Mobile No.	DP ID & Client ID (All Demat Accounts)
1.					
2.					
3.					
4.					
5.					

* Immediate Relative means a spouse of a person, and includes parent, sibling, and child of such person or of the spouse, any of whom is either dependent financially on such person, or consults such person in taking decisions relating to trading in Securities;

Details relating to Person with whom the designated person shares a Material Financial Relationship**				
Sr. No.	Name of the Person with whom the designated person shares a Material Financial Relationship	PAN or any other Identifier authorized by law where PAN is not available	Phone/Mobile No.	DP ID & Client ID (All Demat Accounts)

** The term “material financial relationship” shall mean a relationship in which one person is a recipient of any kind of payment such as by way of a loan or gift during the immediately preceding 12 (twelve) months, equivalent to at least 25% of such payer’s annual income but shall exclude relationships in which the payment is based on arm’s length transactions.

I, _____, hereby consent to provide the aforesaid information under the SEBI (Prohibition of Insider Trading) Regulation, 2015 or any other laws, to the Company and also consent to such information being put on the Digital Database of the Company.

I, _____ hereby undertake that the aforementioned information provided by the undersigned above is true and to the best of the knowledge. The information is provided in compliance with Clause 7 of the Code and as per the Schedule B of the Regulations. The undersigned is being made aware that the above information will be kept strictly confidential and will not be shared except under the following circumstances

- a. Under any proceedings or pursuant to any order of courts or tribunals;
- b. For investigation, inquiry or request for information by statutory or governmental authorities or any other administrative body recognized by law; and
- c. In compliance with applicable laws, regulations, rules and requirements;

Date:
Place:

Signature:
Name:

ANNEXURE L

FORMAT FOR REPORTING VIOLATIONS

**Report By Avi Ansh Textile Limited for Violations related to Code
of Conduct under SEBI (Prohibition of Insider Trading)
Regulations, 2015**

Sr. No	Particulars	Details
1	Name of the Listed Company	
2	Reporting in capacity of Listed Company	
3	A. Details of Designated Person (DP)	
	i. Name of the DP	
	ii. PAN of the DP	
	iii. Designation of DP	
	iv. Functional Role of DP	
	v. Whether DP is Promoter or belongs to Promoter Group	
	B. If Reporting is for immediate relative of DP	
	i. Name of the immediate relative of DP	
	ii. PAN of the immediate relative of DP	
	C. Details of transaction(s)	
	i. Name of the scrip	
	ii. No of shares traded and value (Rs.) (Date-wise)	
	D. In case value of trade(s) is more than Rs.10 lacs in a calendar quarter	
	i. Date of intimation of trade(s) by concerned DP/director/promoter/promoter group to Company under regulation 7 of SEBI (PIT) Regulations, 2015	
	ii. Date of intimation of trade(s) by Company to stock exchanges under regulation 7 of SEBI (PIT) Regulations, 2015	
4	Details of violations observed under Code Of Conduct	
5	Action taken by Listed company	
6	Reasons recorded in writing for taking action stated above	
7	Details of the previous instances of violations, if any, since last financial year	
8	If any amount collected for Code of Conduct violation(s)	
	i. Mode of transfer to SEBI - IPEF(Online/Demand Draft)	
	ii. Details of transfer/payment	

	In case of Online:	
	Particulars	Details
	Name of the transferor	
	Bank Name, branch and Account number	
	UTR/Transaction reference Number	
	Transaction date	
	Transaction Amount (in Rs.)	
	In case of Demand Draft (DD):	
	Particulars	Details
	Bank Name and branch	
	DD Number	
	DD date	
DD amount (in Rs.)		
9	Any other relevant information	

Yours faithfully,

Name and signature of Compliance Officer

PAN:

Email ID:

Date:

Place: